

07/29/98

JC598 U.S. PTO

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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| | | | |
|---|--|--|-------------|
| UTILITY PATENT APPLICATION TRANSMITTAL | | Attorney Docket No. NANO-001/13US | Total Pages |
| First Named Inventor or Application Identifier | | | |
| Charles H. Moore et al. | | | |
| Express Mail Label No. 0123456789 | | | |

| | |
|--|--|
| APPLICATION ELEMENTS | |
| See MPEP chapter 600 concerning utility patent application contents. | |

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

| | |
|--|--|
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (1pg) (Submit an original, and a duplicate for fee processing) | 6. <input type="checkbox"/> Microfiche Computer Program (Appendix) |
| 2. <input checked="" type="checkbox"/> Specification (Total Pages 56) | 7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) |
| - Descriptive title of the Invention | |
| - Cross References to Related Applications | |
| - Statement Regarding Fed sponsored R & D | |
| - Reference to Microfiche Appendix | |
| - Background of the Invention | |
| - Brief Summary of the Invention | |
| - Brief Description of the Drawings (if filed) | |
| - Detailed Description | |
| - Claim(s) | |
| - Abstract of the Disclosure | |
| 3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 19] | 8. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) |
| 4. Oath or Declaration [Total Pages 3] | 9. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee) |
| a. <input checked="" type="checkbox"/> Newly executed (original or copy) | 10. <input type="checkbox"/> English Translation Document (if applicable) |
| b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuations/divisionals with Box 17 completed) (Note Box 5 below) | 11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 <input type="checkbox"/> Citations |
| i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). | |
| 5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. | 12. <input checked="" type="checkbox"/> Preliminary Amendment |
| 13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) | |
| 14. <input type="checkbox"/> Small Entity <input type="checkbox"/> Statement filed in prior application. Statement(s) <input type="checkbox"/> Status still proper and desired | |
| 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) | |
| 16. <input checked="" type="checkbox"/> Other: Check 31269 for \$872.00 | |

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP) of prior application No: **08,484,918**

18. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label Correspondence address below
(Insert Customer No. or Attach bar code label here)

| | | | |
|---------|---------------------------|-----------|----------------|
| NAME | Willis E. Higgins | | |
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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL

Note: Effective October 1, 1997.
Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 872.00)

Complete if Known

| | |
|------------------------|-------------------------|
| Application Number | |
| Filing Date | |
| First Named Inventor | Charles H. Moore et al. |
| Group Art Unit | 2784 (in parent case) |
| Examiner Name | D. Eng (in parent case) |
| Attorney Docket Number | NAND-001/13 US |

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number
Deposit Account Name

03-3117

Cooley Godward LLP

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

2. Payment Enclosed:

- Check Money Order Other

FEE CALCULATION

1. FILING FEE

Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Description | Fee Paid |
|---------------|---------------|-------------------------------|----------|
| 101 | 790 | 201 395 Utility filing fee | 790.00 |
| 106 | 330 | 206 165 Design filing fee | |
| 107 | 540 | 207 270 Plant filing fee | |
| 108 | 790 | 208 395 Reissue filing fee | |
| 114 | 150 | 214 75 Provisional filing fee | |
| SUBTOTAL (1) | | (\$ 790.00) | |

2. CLAIMS

| Total Claims | Extra | Fee from below | Fee Paid |
|---------------------------|---------|----------------|----------|
| 13 | -20 = 0 | X — = 0.00 | 0.00 |
| Independent Claims 4 | - 3 = 1 | X 82 = 82.00 | 82.00 |
| Multiple Dependent Claims | | | |

Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Description |
|---------------|---------------|--|
| 103 | 22 | 203 11 Claims in excess of 20 |
| 102 | 82 | 202 41 Independent claims in excess of 3 |
| 104 | 270 | 204 135 Multiple dependent claim |
| 109 | 82 | 209 41 Reissue independent claims over original patent |
| 110 | 22 | 210 11 Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) | | (\$ 82.00) |

3. ADDITIONAL FEES

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------------|----------------------------|---|----------|
| 105 | 130 | 205 65 Surcharge - late filing fee or oath | |
| 127 | 50 | 227 25 Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 130 Non-English specification | |
| 147 | 2,520 | 147 2,520 For filing a request for reexamination | |
| 112 | 920* | 112 920* Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 1,840* Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 55 Extension for reply within first month | |
| 116 | 400 | 216 200 Extension for reply within second month | |
| 117 | 950 | 217 475 Extension for reply within third month | |
| 118 | 1,510 | 218 755 Extension for reply within fourth month | |
| 128 | 2,060 | 228 1,030 Extension for reply within fifth month | |
| 119 | 310 | 219 155 Notice of Appeal | |
| 120 | 310 | 220 155 Filing a brief in support of an appeal | |
| 121 | 270 | 221 135 Request for oral hearing | |
| 138 | 1,510 | 138 1,510 Petition to institute a public use proceeding | |
| 140 | 110 | 240 55 Petition to revive - unavoidable | |
| 141 | 1,320 | 241 660 Petition to revive - unintentional | |
| 142 | 1,320 | 242 660 Utility issue fee (or reissue) | |
| 143 | 450 | 243 225 Design issue fee | |
| 144 | 670 | 244 335 Plant issue fee | |
| 122 | 130 | 122 130 Petitions to the Commissioner | |
| 123 | 50 | 123 50 Petitions related to provisional applications | |
| 126 | 240 | 126 240 Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 40 Recording each patent assignment per property (times number of properties) | |
| 146 | 790 | 246 395 Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149 | 790 | 249 395 For each additional invention to be examined (37 CFR 1.129(b)) | |
| Other fee (specify) _____ | | | |
| Other fee (specify) _____ | | | |
| Reduced by Basic Filing Fee Paid | | SUBTOTAL (3) (\$) | |

SUBMITTED BY

Typed or Printed Name

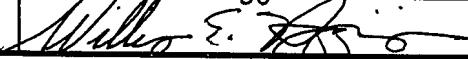
Willis E. Higgins

Complete (if applicable)

Reg. Number

23,025

Signature



Date

Deposit Account User ID

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